



ATTORNEYS AT LAW

1100 NEW YORK AVENUE, N.W., SUITE 600 WASHINGTON, D.C. 20005-3934

www.skgf.com

PHONE: (202) 371-2600 FACSIMILE: (202) 371-2540

ROBERT GREENE STERNE EDWARD J. KESSLER JORGE A. GOLDSTEIN SAMUEL L. FOX DAVID K.S. CORNWELL ROBERT W. ESMOND TRACY-GENE G. DURKIN MICHELE A. CIMBALA MICHAEL B. RAY ROBERT E. SOKOHL ERIC K. STEFFE MICHAEL O. LEE

STEVEN R. LUDWIG
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MOLLY A. MCCALL
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W. BRIAN EDGE*

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SUZANNE E. ZISKA**
BRIAN J. DEL BUONO**
ANDREA J. KAMAGE**
NANCY J. LEITH**
TARJA H. NAUKKARINEN**

*BAR OTHER THAN D.C.
**REGISTERED PATENT AGENTS



September 15, 2000

WRITER'S DIRECT NUMBER:
(202) 371-2674
INTERNET ADDRESS:
mlee@skgf.com

Commissioner for Patents Washington, D.C. 20231

Box Missing Parts
Art Unit 2743

Re: U.S. Non-Provisional Utility Patent Application

Appl. No. 09/550,644; Filed: April 14, 2000

For: Method and System for Down-Converting an Electromagnetic Signal, Transforms for Same, and Aperture Relationships

Inventors: Sorrells *et al.* Our Ref: 1744.0010009

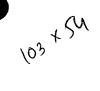
Sir:

In reply to the Notice to File Missing Parts of Application--Filing Date Granted, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- 1. Petition for Extension of Time under 37 C.F.R. § 1.136 (in duplicate);
- 2. PTO Fee Transmittal Form PTO/SB/17 (in duplicate);
- 3. Copy of the Notice to File Missing Parts;
- 4. Preliminary Amendment;
- 5. Original, executed Combined Declaration and Power of Attorney:
- 6. Original, executed Power of Attorney from Assignee;

Commissioner for Patents September 15, 2000 Page 2





- 7. Original, executed Certificate under 37 C.F.R. § 3.73(b) with a copy of the Assignment attached;
- 8. Request for Corrected Official Filing Receipt;

OBO

- 9. Redlined copy of Filing Receipt in support of Request;
- 10. Return postcard; and
- 11. Our Check No. 28762 for \$ 2,161.00 to cover:
 - \$ 690.00 Filing Fee for Patent Application (37 C.F.R. § 1.16),
 - \$ 972.00 Fee for excess total claims (37 C.F.R. § 1.16),
 - \$ 234.00 Fee for excess independent claims (37 C.F.R. § 1.16),
 - \$ 130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16),
 - \$ 110.00 For extension of time fees (37 C.F.R. § 1.136), and
 - \$ 25.00 For duplicate filing receipt fee (37 C.F.R. § 1.19(h).

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

TERNE, KESSIER, GOLDSTEIN & FOX P.L.L.C.

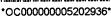
Michael Q Lee

Attorney for Applicants Registration No. 35,239

MQL/VLB/sjc
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SKGF Rev. 4/27/00 mac



FORMALITIES LETTER





UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/550,644

04/14/2000 **0**

David F. Sorrells

1744.0010009

Sterne Kessler Goldstein & Fox PLLC Attorneys at Law Suite 600 1100 New York Avenue NW Washington, DC 20005-3934



Date Mailed: 06/26/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1206.
 - \$972 for 54 total claims over 20.
 - \$234 for 3 independent claims over 3.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2026.

A copy of this notice MUST be returned with the reply.

Mu

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00000035 09550644 690.00 GP 234.00 GP 130.00 GP

09/18/2000 HNDDR1 00 FC:101 00 FC:103 04 FC:105 04 FC:105 04 FC:115 04 FC:115 04 FC:115 In re application of:

Sorrells et al.

Appl. No. 09/550,644

Filed: April 14, 2000

For: Method and System for Down-

Converting an Electromagnetic Signal, Transforms for Same, and

Aperture Relationships

Art Unit: 2743

Examiner: (to be assigned)

Atty. Docket: 1744.0010009

Request for Corrected Official Filing Receipt

Commissioner for Patents Washington, D.C. 20231

Attn: Office of Initial Patent Examination's Customer Service Center

Sir:

Applicants hereby request that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, the following corrections to the Official Filing Receipt are requested:

In the Continuing Data Section

Please delete the information in this section and replace it with the following:

--This application is a CIP of 09/521,879, 03/09/2000 and a CIP of 09/293,342, 04/16/1999, which is a CIP of 09/176,022 10/21/1998 Pat 6,061,551.--

In support of the above request, a photocopy of the instant Official Filing Receipt is enclosed with the corrections noted in red. It is requested that a corrected Official Filing Receipt be issued, and sent to the undersigned at the earliest possible time.

The fee for correcting the filing receipt due to Applicant error is enclosed in our check no. 28762. 37 C.F.R. § 1.19(h). The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this pleading is enclosed.

Respectfully submitted,

STERNE (KESSIER, GOLDSTEIN & FOX P.L.L.C.

Michael Q. Lee

Attorney for Applicants Registration No. 35,239

Date: 4/15

1100 New York Avenue, #600 Washington, D.C. 20005-3934 (202) 371-2600

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FILING RECEIPT

OC000000005202935



ASSISTANT SECRETARY AND COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

	APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS	
•	09/550,644	04/14/2000	2743	0	1744.0010009	340	74	6	

Sterne Kessler Goldstein & Fox PLLC Attorneys at Law Suite 600 1100 New York Avenue NW Washington, DC 20005-3934

Date Mailed: 06/26/2000

2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David F. Sorrells, Residence Not Provided; Michael J. Bultman, Residence Not Provided; Robert W. Cook, Residence Not. Provided; Richard C. Looke, Residence Not Provided; Charley D. Moses, Jr., Residence Not Provided; Gregory S. Rawlins, Residence Not Provided; Michael W. Rawlins, Residence Not Provided;



THIS APPLICATION IS A CIP OF 09/293,342 04/16/1999 WHICH IS A CIP OF 09/176,022 10/21/1998 PAT 6,061,551

-- This application is a CIP of 09/521,879, 03/09/2000 and a CIP of 09/293, 342, 04/16/1999, which is **Foreign Applications** a CIP of 09/176,022, 10/21/1998 Pat 6,061,551

If Required, Foreign Filing License Granted 06/22/2000

Title

Method and system for down-converting an electromagnetic signal, and transforms for same, and aperture relationships

Preliminary Class

STERNE, KESSLER,

GOLDSTEIN & FOX P.L.

ed for use through 09/30/2000. OMB 0651-0032 ark Office: U.S. DEPARTMENT OF COMMERCE

Patent and Tr Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

Patent fees are subject to annual revision.

Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PT (SB/09-12.

TOTAL AMOUNT OF PAYMENT

for FY 2000 RADEMARK. See 37 C.F.R. §§ 1.27 and 1.28. (\$) 2,161.00

Complete if Known						
Application Number	09/550,644					
Filing Date	April 14, 2000					
First Named Inventor	David F. Sorrells					
Examiner Name	(to be assigned) 2743					
Group / Art Unit						
Attorney Docket Number	1744.0010009					

Complete (if applicable)

Deposit Acct. User ID

35,239

Reg. Number

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:	3. addit	TIONAL F	EES			
Deposit Account Number 19-0036 Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	/ Fee Fee Description Paid	
Name	i	• • •			·	
☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17	105	130	205	65		
	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
2 November England N Charle Manay Order Other	139	130	139	130	Non-English specification	
2. ☑ Payment Enclosed: ☑ Check ☐ Money Order ☒ Other* *Charge any deficiencies or credit any overpayments in	147	2,520	147	2,520	For filing a request for reexamination	
the fees of fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.	112	920*	112	920°	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1	115	110	215	55	Extension for reply within first month 110.00	
1. BASIC FILING FEE	116	380	216	190	Extension for reply within second month	
Large Entity Small Entity	117	870	217	435	Extension for reply within third month	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	118	1,360	218	680	Extension for reply within fourth month	
101 690 201 345 Utility filing fee \$690.00	128	1,850	228	925	Extension for reply within fifth month	
	119	300	219	150	Notice of Appeal	
106 310 206 155 Design filing fee	120	300	220	150	Filing a brief in support of an appeal	
107 480 207 240 Plant filing fee	121	260	221	130	Request for oral hearing	
108 690 208 334 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 690.00	141	1,210	241	605	Petition to revive - unintentional	
(1) (1) (200133		1,210	242	605	Utility issue fee (or reissue)	
	143	430	243	215	Design issue fee	
2. EXTRA CLAIM FEES Fee from	144	580	244	290	Plant issue fee	
Extra below Fee Paid Total Claims 74 - 20** = 54 X \$18 = \$972.00	122	130	122	130	Petitions to the Commissioner	
Indep. Claims 6 - 3** = 3 X \$78 = \$234.00	123	50	123	50	Petitions related to provisional applications	
Multiple Dependent =	126	240	126	240	Submission of Information Disclosure Stmt	
** or number previously paid, if greater, For Reissues, see below Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)	
103 18 203 9 Claims in excess of 20	146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
102 78 202 39 Independent claims in excess of 3			640		` "	
104 260 204 130 Multiple dependent claim	149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
108 78 209 39 **Reissue independent claims over original patent		Other fee (specify): Duplicate Filing Receipt Fee (37 CFR 1.19(h)) \$25.00				
110 18 210 9 **Reissue claims in excess of 20 and	Other fee (specify):					
over original patent		*Reduced by Basic Filing Fee Paid				
SUBTOTAL (2) (\$) 1,206.00	SUPTOTAL (2) (6) 065 00					
					SUBTOTAL (3) (\$) <u>265.00</u>	

WARNING:

SUBMITTED BY

Typed or Printed Name

Signature

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Date